

08-03-04



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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/802,658	03/17/2004	Leo Martin Baschy	NIR-010

Lawrence A. Husick, Esq.  
 Lipton, Weinberger & Husick  
 201 North Jackson St.  
 P.O. Box 934  
 Media, PA 19063-0934



CONFIRMATION NO. 2674

## FORMALITIES LETTER



\*OC000000012838495\*

Date Mailed: 06/02/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$278** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$728** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.

08/04/2004 EFLORES 00000058 10802658

 01 FC:2001  
 02 FC:2001  
 04 FC:2205

 385.00 OP  
 65.00 OP  
 215.00 OP  
 63.00 OP

 08/04/2004 EFLORES-00000058-10802658  
 385.00 OP  
 65.00 OP  
 215.00 OP  
 01 FC:2001  
 02 FC:2001  
 03 FC:2201

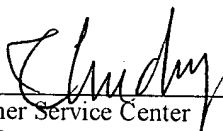
- Total additional claim fee(s) for this application is **\$278**

- **\$215** for 5 independent claims over 3.
- **\$63** for 7 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RE:

APPLICANT : Leo Martin Baschy

TITLE : USER INTERFACE DRIVEN ACCESS  
CONTROL SYSTEM AND METHOD

APPLN. NO. : 10/802,658

FILING DATE : 3/17/2004

CONFIRMATION NO. : 2674

**OLD** ATTORNEY DOCKET NO. : NIR-010

**NEW** ATTORNEY DOCKET NO. : 5532-2

TO: Mail Stop MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

\* \* \* \* \*

EXPRESS MAIL CERTIFICATE

Express Mail Mailing Label No.: ER 305812605 US

Date of Deposit: August 2, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR 1.10 on August 2, 2004 and is addressed to Mail Stop MISSING PARTS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

8-2-2004  
Date

Judith M. Bateman  
Judith M. Bateman

\* \* \* \* \*

TRANSMITTAL LETTER ACCOMPANYING MISSING PARTS FILING

Dear Sir:

Transmitted herewith is the Missing Parts Filing (2 pages) in the above-captioned application.

[XX] Small Entity status of this application pursuant to 37 C.F.R. §1.9(f) and §1.27(b) has been established.

- ☐ A Verified Statement to establish Small Entity status pursuant to 37 C.F.R. § 1.9(f) and § 1.27(b) is enclosed.
- ☐ It is believed that no extension of term is required. However, this conditional petition is being made to provide for the possibility that Applicant has inadvertently overlooked the need for a Petition for Extension of Time under 37 C.F.R. § 1.136(a).
- ☐ Applicant petitions for an Extension of Time under 37 C.F.R. § 1.136 (fees: 37 C.F.R. § 1.17(a)-(d)) for the total number of months checked below:

	<u>Extension Month(s)</u>	<u>Fee For Other Than Small Entity</u>	<u>Fee For Small Entity</u>
<input type="checkbox"/>	1 month	\$110.00	\$ 55.00
<input type="checkbox"/>	2 months	\$390.00	\$195.00
<input type="checkbox"/>	3 months	\$890.00	\$445.00
<input type="checkbox"/>	4 months	\$1,390.00	\$695.00
<input type="checkbox"/>	5 months	\$1,890.00	\$945.00

- ☒ Part 2 of "Notice to File Missing Parts of Nonprovisional Application" dated 04/11/2001 (1 pg.)
- ☒ Declaration and Power of Attorney for the United States Patent Application executed by the Applicant (3 pgs.)
- ☐ An Information Disclosure Statement Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98 ( pgs.) is enclosed.
- ☐ Form PTO-1449
- ☐ References
- ☒ A postage-prepaid, self-addressed postcard to acknowledge receipt of this communication is enclosed.
- ☐ Other
- ☐ \_\_\_\_\_

The claim fee has been calculated as shown below:

(Column 1)		(Column 2)		(Column 3)	SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDI- TION- AL FEE		RATE	ADDI- TION- AL FEE
TOT	* 27	Minus	** 20	= 7	x 9 =	\$ 63	OR	x 18 =	\$
IND	* 8	Minus	*** 3	= 5	x 43 =	\$215	OR	x 78 =	\$
FIRST PRESENTATION OF MULT. DEP. CLAIMS					+ 130=	\$0	OR	+ 260=	\$
					TOTAL	\$278	OR	TOTAL	\$

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\*If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

☐ No additional claim fee is required.

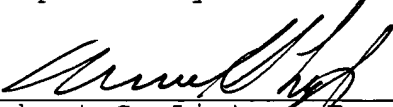
☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_. Two copies of this transmittal are attached.

☒ Credit Card Payment Form (PTO-2038) for the payment of \$728.00 to cover the small entity filing fee \$355, additional claims fee \$278 and surcharge \$65 is enclosed.

☐ The Commissioner is hereby authorized to charge any deficiency in fees associated with this communication, or credit any overpayment, to Deposit Account No. \_\_\_\_\_. Two copies of this transmittal are attached.

Respectfully submitted,

8/2/04  
Date

  
Robert S. Lipton, Esquire  
Registration No. 25,403

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